

Lawyer Profiles



Alistair is one of Canada's leading securities litigation lawyers. His practice includes all aspects of litigation and advice involving the capital markets and the securities industry, including investigations and enforcement hearings, regulatory policy and compliance issues, investment loss claims, corporate disputes and remedies, takeovers and contested shareholder meetings, corporate governance and directors' and officers' liability.

Alistair has extensive experience as counsel in Ontario Securities Commission (OSC) proceedings and has argued some of Ontario's most significant securities law cases. He also represents clients in investigations and proceedings brought by the Investment Industry Regulatory Organization of Canada (IIROC), the Mutual Fund Dealers Association (MFDA), the Integrated Market Enforcement Team (IMET) and the Joint Serious Offences Team (JSOT). Alistair has paired his securities regulatory expertise with an active civil litigation practice and has recognized expertise in advising clients and litigating corporate and commercial disputes in the courts and through arbitration.

Alistair founded the firm in 2005. He is frequently sought as a speaker at conferences and education programs on corporate and securities law issues and litigation strategy.

Speaking Engagements & Publications

Guest Lecturer, "Key Governing Bodies & Their Processes", Intensive Training: Securities Law, Osgoode Hall Law School Investor Protection Clinic, September 11, 2017.

Author, "Investment watchdogs have been asking for far too much power — and Ottawa just gave it to them", Financial Post, May 12, 2017

Speaker and Author, "Preparing for the Hearing – Part 2: Reasonable Apprehension of Bias" for the Short Course in Prosecuting and Defending Professional Discipline Cases, Osgoode Hall Law School Professional Development, January 30, 2015

Co-Author, "Ontario Securities Commission Reviews: An Exercise in Futility or a Road out of the Quagmire?", Private Capital Markets Association of Canada Market Insights Issue, published Fall 2014

Speaker, PDAC, 2014, Recent Developments in Securities Enforcement, March 2, 2014

Speaker, 2014 Private Capital Markets Association of Canada Annual Conference, "Managing Regulatory Risks", June 2, 2014

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Associations

Member of the Private Capital Markets Association of Canada

Member of The Advocates' Society

Osgoode Investor Protection Clinic, Supervising Lawyer

Education

Called to the Bar - 1996

LL.M., University of Toronto - 1993

LL.B.(Hons), Victoria University of Wellington (New Zealand) - 1991



Speaker, "Risky Business: The Art of Directors' & Officers' Liability Litigation", Advocates' Society Fall Convention, November 2013

